

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4 (Sept. 2005)

Licensee Name:	UI Number:
FRAZIER, David, Milton II	768075-2010-0144

Topic	27 CFR	Page Number
1. DEFINITIONS		
<input checked="" type="checkbox"/> Ammunition	478.11	36
<input checked="" type="checkbox"/> Antique Firearms	478.11	36
<input checked="" type="checkbox"/> Curios and Relics	478.11	36
<input checked="" type="checkbox"/> Engaged in the Business	478.11	37
<input checked="" type="checkbox"/> Firearm Frame or Receiver	478.11	37
<input checked="" type="checkbox"/> Identification Document	478.11	38
<input checked="" type="checkbox"/> Short Barreled Rifles & Shotguns	478.11	40
2. MISCELLANEOUS PROVISIONS		
<input checked="" type="checkbox"/> Right of Entry and Examination	478.23	41
<input checked="" type="checkbox"/> "Straw" Purchase	478.128 & General Infor. # 15	69 & 166
<input checked="" type="checkbox"/> Tracing Request from ATF	478.25a	42
<input checked="" type="checkbox"/> Compliance with State Law Publication/ATF P 5300.5	478.24	41
<input checked="" type="checkbox"/> Firearm Transportation	478.38	45
<input checked="" type="checkbox"/> Reporting Thefts or Losses/ATF F 3310.11 **	478.39a	45
3. LICENSES		
<input checked="" type="checkbox"/> Correction of Error	478.48	49
<input checked="" type="checkbox"/> Renewal/Duration	478.45 & 478.49	48 & 49
<input checked="" type="checkbox"/> Premises Covered	478.50	49
<input checked="" type="checkbox"/> Reporting Changes of Address/ATF F 5300.38	478.52	49
<input checked="" type="checkbox"/> Reporting Changes in Trade Name	478.53	49
<input checked="" type="checkbox"/> Reporting Changes of Control	478.54	49
<input checked="" type="checkbox"/> Discontinuance of Business	478.57 & 478.127	50 & 69
4. CONDUCT OF BUSINESS		
<input checked="" type="checkbox"/> Posting of License	478.91	51
<input checked="" type="checkbox"/> Sales or Deliveries Between Licensees	478.94 & 478.95	53
<input checked="" type="checkbox"/> Gun Show Guidelines **	478.100	55
<input checked="" type="checkbox"/> Out of State and Mail Order Sales	478.96	53
<input checked="" type="checkbox"/> Prohibited Sales and Deliveries	478.99	54-55
<input checked="" type="checkbox"/> NICS Requirements	478.102 & 478.131	55-56 & 70
<input checked="" type="checkbox"/> Obliterated Serial Number	478.34	44
<input checked="" type="checkbox"/> Youth Handgun Safety Act (YHSA)-Sales of Handguns	18 U.S.C. 922(x)	15-16
<input checked="" type="checkbox"/> Youth Handgun Safety Act Poster and Notices	18 U.S.C. 922(x) & 478.103	15-16 & 56-57
<input checked="" type="checkbox"/> Secure Gun Storage or Safety Device	921(a)(34), 922(z) & 923(d)(1)(G)	8 & 16-18
<input checked="" type="checkbox"/> Non-resident Aliens	478.29a & ATF Rul. 2004-1	43 & 144-145
<input checked="" type="checkbox"/> Sales to Law Enforcement Officers	478.134	70-71
5. REQUIRED RECORDS		
<input checked="" type="checkbox"/> Retention of Records <i>20 yrs</i>	478.129	69-70
<input checked="" type="checkbox"/> Transaction Record/ATF F 4473 ** <i>3 yrs</i>	478.124	64-66
<input checked="" type="checkbox"/> Acquisition and Disposition Record	478.125(e)	67
<input checked="" type="checkbox"/> Report of Multiple Handgun Sales/ATF F 3310.4 **	478.126a	69
<input checked="" type="checkbox"/> Personal Firearms	478.125a	68
<input checked="" type="checkbox"/> Consignment of Firearms (Also, see Q&A section F15)	478.124(a)	64 & 183
6. STATE LAWS AND LOCAL ORDINANCES		
<input checked="" type="checkbox"/> Review of basic concepts including licenses, waiting periods, concealed carry permits.		

** Provide copy of form and explain requirements to complete.

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4 (Sept. 2005)

Licensee Name:	UI Number:
FRAZIER, David, Milton II	768075-2010-0144

Topic	27 CFR	Page Number
7. GUNSMITH ACTIVITIES	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Gunsmithing (Also, see Q&A section I)	478.124(a)	64 & 185
<input type="checkbox"/> Gunsmith Recordkeeping	478.124(a) & .125(e), ATF Rul. 77-1	64, 67 & 126
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB	Part 53	1-877-882-3277
8. NFA DEALER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Authorized Operations	ATF Ruling 76-22	126
<input type="checkbox"/> General Information	Q&A - M11 & M12	188
<input type="checkbox"/> Special Occupational Tax **	479.32 - 479.37	85-87
<input type="checkbox"/> NFA Firearms	Part 479	80-98
9. IMPORTER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Importer Definition	478.11	38
<input type="checkbox"/> ATF Forms 6 and 6A **	478.112	58-59
<input type="checkbox"/> Importation Acquisition & Disposition Record	478.122 & 478.125(i)	63-64 & 68
<input type="checkbox"/> Markings	TD ATF-461, 478.92 & 479.102	51-52 & 92-93
<input type="checkbox"/> NFA Firearms	479.111 - 479.113	94-95
<input type="checkbox"/> Firearm & Ammunition Excise Tax - Contact TTB	Part 53	1-877-882-3277
10. EXPORTATION		
<input type="checkbox"/> Arms Export Control Act of 1976	N/A <input checked="" type="checkbox"/>	Part 447 99-101
11. FIREARMS & AMMUNITION MANUFACTURERS	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Manufacturer Definition	478.11 and 479.11	38 & 83
<input type="checkbox"/> Markings	TD ATF-461 & 478.92	51-52
<input type="checkbox"/> Records	478.123 & 478.125(i)	64 & 68
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB	Part 53	1-877-882-3277
<input type="checkbox"/> NFA Firearms	479.103	93
<input type="checkbox"/> Special Occupational Tax **	479.32 - 479.37	85-87
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report	Part 53	ATF F 5300.11
12. SCHOOL ZONE	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> If the FFL's premises fall within 1000 feet of a school zone, advise the FFL that Firearms in possession of FFL's customers must be unloaded and placed in a locked container, or a locked firearms rack that is on a motor vehicle. Also, advise of the specific means the FFL can ensure that their customers are not in violation of 18 U.S.C. 922(q) (e.g. advise customer of State license/permit options, the use of lockable containers, etc.).		

** Provide copy of form and explain requirements to complete.

The investigator explained the above information to me on 5/7/10 and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed firearms business.

Applicant's/Licensee's Signature and Date

ATF Website: www.atf.gov

Area Office Phone: _____

Revised 11/07/08

ATF Investigator Signature and Date

Tracing Center

1-800-788-7133

Reporting Thefts

1-888-930-9275

1-800-800-3855